

As on : 08-07-2024 11:21

Registration Number

SEBIP/HY24/GURG/015281/1

Complainant Name

Krishan Krishan

Complainant Email

maheshkumar1963@rediffmail.com

Complainant Contact number

9871754650

PAN of Complainant

AJYPG5174G

Complainant Address

House no.377 78near krishan mandir,Gali no 7

Complainant Pincode

122001

Complainant State

Haryana

Category Name

Stock Broker

Nature Of Grievance

Improper Service

Date Of Receipt

04-07-2024

Complaint Against

Gogia Capital Services Limited

Supporting Docs

[upload_00023583.pdf](#)

Complaint Details

Cooperative BSE NSE both. Pertains to Stock Broker.

Stock Exchange

National Stock Exchange of india Ltd.

[Complaint History](#)

Complaint History : SEBIP/HY24/GURG/015281/1

Sr. No.	Action	Remarks	Supporting File	Date	Action Taken by	Auto Assigned to
1	Complaint Lodged	Please refer to complaint details above	5324278 - 1	04-07-2024	Krishan Krishan	

Sr. No.	Action	Remarks	Supporting File	Date	Action Taken by	Auto Assigned to
2	Auto Assigned To Entity			04-07-2024	Krishan Krishan	Gogia Capital Services Limited
3	Copy Mark to Designated Body			04-07-2024	Krishan Krishan	Hari Om Verma (national Stock Exchange Of India Ltd.)

IW/34352

04/07/2024

sebi

From: maheshkumar1963@rediffmail.com on behalf of mahesh <maheshkumar1963@rediffmail.com>
Sent: 04/07/2024 15:33
To: sebi
Subject: Re: Request for strict action against gogia capital munirka new dehli

Krishan kumar grover ✓
Pan no.00HPK2690H ✓
Address: house no(377-38/5 gali no. Arjun ngr gurgaon-122001) ✓
M-(9871754650) ✓
Email(maheshkumar1963@rediffmail.com) ✓

ASYPGS1746

Sir

All these documents except pan nمبر becoz of misplaced which has been attached with adhar and has been submitted to gogia capital also .

Regards

Sent from Rediffmail using iOS

From: "sebi"sebi@sebi.gov.in
Sent:Thu, 04 Jul 2024 14:37:29 +0530
To: "maheshkumar1963@rediffmail.com" maheshkumar1963@rediffmail.com
Subject: RE: Request for strict action against gogia capital munirka new dehli

Dear sir,

With respect to the trailing email, it is informed that we shall require following mandatory details from you to enable us to lodge the complaint in SCORES 2.0

1. Name.
2. PAN number.
3. Email ID.
4. Mobile number.
5. Postal address.

Please provide the aforesaid details for us to lodge the complaint in your name.

Thanks & Regards,

OIAE.

Securities and Exchange Board of India..

From: maheshkumar1963@rediffmail.com <maheshkumar1963@rediffmail.com>

> **Sent:** 04/07/2024 09:38

> **To:** sebi <sebi@sebi.gov.in>

> **Subject:** Re: Request for strict action against gogia capital munirka new dehli

I am sorry to say i am not expert of online I tried my best to do many times but could not succeed I

> Kindly help me for offline solution.

>

> Regards

>

>

>

> Sent from Rediffmail using iOS

>

> From: "sebi"sebi@sebi.gov.in

> Sent: Thu, 04 Jul 2024 01:24:01 +0530

> To: "maheshkumar1963@rediffmail.com" maheshkumar1963@rediffmail.com

> Subject: RE: Request for strict action against gogia capital munirka new dehli

>

>

Dear Sir/Madam,

This is with reference to the trailing mail.

Please lodge your complaint on SEBI Complaint Redress System (SCORES) at <https://scores.sebi.gov.in/> or SEBI SCORES Mobile App which is available on both Apple App Store and Google Play Store.

Please note that as per circular No. SEBI/HO/OIAE/IGRD/CIR/P/2023/156 dated September 20, 2023, investors who wish to lodge a complaint are required to register themselves on SCORES before lodging the complaint against a listed company, a SEBI registered intermediary or a SEBI recognized Market Infrastructure Institution. While registering the complaints, mandatory details like Name of the investor, Address, PAN, Mobile Number and E-mail ID are to be provided for registration.

SCORES aids in tracking the status of the complaints anytime by the investor while also providing them notifications from time to time with respect to their complaints. Complaints lodged on SCORES Portal or SCORES Mobile App help in keeping proper audit trail of the complaint which is essential for future references. In view of the same, complaints sent through e-mails shall not be processed.

For any help in lodging complaint on SCORES or with respect to your complaints, please contact the SEBI Toll Free Help Line number 1800 266 7575 or 1800 22 7575.

For any technical assistance please send an email on "scoreshelp@sebi.gov.in" or call us at 022-2644-9377/022-4045-9377/022-2075-2247.

Thanks & Regards,

OIAE.

Securities and Exchange Board of India..

From: maheshkumar1963@rediffmail.com <maheshkumar1963@rediffmail.com>

>> Sent: 03/07/2024 15:52

>> To: sebi <sebi@sebi.gov.in>

>> Subject: Re: Request for strict action against gogia capital munirka new dehli

I have already requested but all **इन्** vain।

>>

>> Regards

>>

>>

>>

>> Sent from Rediffmail using iOS

>>

>> From: "sebi"sebi@sebi.gov.in

>> Sent: Wed, 03 Jul 2024 15:49:51 +0530

>> To: "maheshkumar1963@rediffmail.com" maheshkumar1963@rediffmail.com

>> Subject: FW: Request for strict action against gogia capital munirka new dehli

>>

>>

Dear Sir/Madam,

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Thanks & Regards,

OIAE

Securities and Exchange Board of India.

From: maheshkumar1963@rediffmail.com <maheshkumar1963@rediffmail.com>

>>> **Sent:** 03/07/2024 13:13

>>> **To:** MADHABI BUCH <chairperson@sebi.gov.in>

>>> **Subject:** Request for strict action against gogia capital munirka new dehli

Respected Mam

>>>

>>> I am sorry to write you directly becoz of adamant behavior of shri satish gogia and his staff of m/s gogia capital munirka new dehli.

>>>

>>> I have dmat account no.DPIN 300589 and trading account no.H-161 which is approx 25 yrs old account having all the same KYC as was 25 years old.

>>> Due to non availability of funds i could not trade. After sometime i started trading they asked me for KYC i did what they say. But everyday he added one more paper to provide. And i did provide. But a employee of gogia capital mr manmohan is adamant to visit his office which is not possible as i requested him that i am shopkeeper and gogia capital is 50 kms from my residence.

>>>

>>> My elder bro mahesh kumar who is retd class I officer from govt of india handle my trading acctt and is nominee also.

>>> Mr satish gogia proprietor and manmohan both are behaving rudly since sometime. And they say do what u can do. Go to Sebi Nse etc etc.

>>> Till today they have not reactivated my trading account.

>>> I request for strict action against this firm and mr satish gogia who take liberty to be handicapped.

>>> After his warning do what u can do i am writing you.

>>>

>>> Pls help me in this matter.

>>>

>>> Regards

>>>

>>> Krishan kumar grover

>>>

>>>

>>>

>>> Sent from Rediffmail using iOS

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